

mL and DMF and saturated with methylamine gas at 0 °C. The vessel was sealed and agitated for 1 day. The polymer was washed successively in dioxane, ethanol, 2 N NaOH/*i*-PrOH (1:1), water (until eluate neutral), ethanol, and ether. After drying in vacuo, the polymer (3.7 g/3.8 mequiv of amino groups/1 g of dry weight) was suspended in a mixture of water (1.5 mL), ethanol (0.5 mL), triethylamine (7 mL), and 4-chloropyridine hydrochloride (4.7 g) in a glass pressure vessel, sealed and heated for 4 days at 140 °C. The polymer was washed as before, and unreacted amino groups were blocked by acetylation (acetic anhydride in CH₂Cl₂, then base wash). The washed DMAP polymer was dried at 150 °C in vacuo until constant weight. Incorporation of pyridine groups was determined by potentiometric chloride titration of the hydrochloride salt bound to the polymer: 2.53 mequiv/g compared to 3.15 mequiv/g prior to acetylation.

Polymeric 1-Acyl-4-(dialkylamino)pyridinium Chlorides. In a typical experiment, the anhydrous 4-(dialkylamino)pyridine polymer was swelled in methylene chloride (freshly distilled from P₂O₅ under argon) and treated with excess benzoyl chloride at 0 °C. The polymer was filtered and washed with methylene chloride under anhydrous conditions until the washings contained negligible amounts of benzoyl chloride—by the silver nitrate test in alcohol (less than 0.1% of total pyridine groups as indicated by GC). The polymer was dried under vacuum at room temperature and was stable at -10 °C for several months. After treatment with a primary (e.g., benzyl) amine in methylene chloride, a pure amide was recovered by filtration and acid/base wash. The amount of amide corresponded to 0.8 mequiv/g of acyl substitution on the polymer.

Anhydrous manipulation as above and those involving transfer between two polymers were most conveniently carried out by using a circulating system described in Figure 1, containing Teflon columns (1-4-

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mL volume) joined to the solvent distillation apparatus, waste, and vacuum pump via Teflon tubing.

In summary, we have shown for the first time the possibility to perform highly efficient condensation reactions, by transferring polymer-bound electrophiles (i.e., active esters) via a mediator (shadchan) to polymer-bound nucleophiles (i.e., amines). We have also shown the possibility of on-line monitoring which is relevant for automation.

The mediator methodology developed here is believed not to be limited to acylation and related processes but to be expandable to other chemical processes that involve the creation of activated intermediates. These possibilities are currently under investigation.

Acknowledgment. We thank the Etta P. Schiff Trust and the Bantrell Fund for financial support. This work is dedicated to Prof. Arieh Berger on the 10th anniversary of his death.

Registry No. Boc-Phe-OH, 13734-34-4; Boc-Gly-OH, 4530-20-5; Boc-Tyr(OBz)-OH, 2130-96-3; Boc-Tyr(OBz-2,6-Cl)-OH, 40298-71-3; Boc-Phe-Leu-OCH₃, 64152-76-7; H₂NPhe-Leu-OH, 3303-55-7; H₂NAla-Leu-OH, 3303-34-2; H₂NGly-Phe-Leu-OH, 15373-56-5; H₂NGly-Gly-Phe-Leu-OH, 60254-83-3; Boc-Tyr(OBz)-Gly-Gly-Che-Leu-OCH₃, 63631-33-4; H₂NTyr-Gly-Gly-Phe-Leu-OH, 58822-25-6; HOCH₂Ph, 100-51-6; HOC₆H₄-*p*-NO₂, 100-02-7; HSC₆H₄-*p*-NO₂, 1849-36-1; PhCOOH, 65-85-0; CH₃COOH, 64-19-7; H₂NCH₂Ph, 100-46-9; CH₃CO₂CH₂Ph, 140-11-4; PhCO₂C₆H₄-*p*-NO₂, 959-22-8; PhCOSC₆H₄-*p*-NO₂, 1219-32-5; CH₃CO₂COPh, 2819-08-1; PhCOF, 455-32-3; *i*-BuOCONHCH₂Ph, 69805-82-9; *p*-tosyl-NHCH₂Ph, 1576-37-0; methylamine, 74-89-5; 4-chloropyridine hydrochloride, 7379-35-3; benzoyl chloride, 98-88-4; menthol, 89-78-1; menthol benzoate, 612-33-9; benzoic anhydride, 93-97-0; 1-methylethyl 2-chlorophenyl dimethylphosphoramidate, 96227-79-1; 2-chlorophenyl methyl 1-methylethylphosphate, 96227-80-4.

General Method of Diastereo- and Enantioselective Synthesis of β -Hydroxy- α -amino Acids by Condensation of Aldehydes and Ketones with Glycine

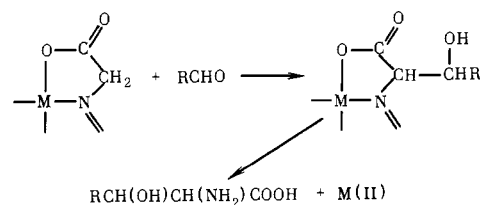
Yuri N. Belokon*, Alexander G. Bulychev, Sergei V. Vitt, Yuri T. Struchkov, Andrei S. Batsanov, Tatiana V. Timofeeva, Vladimir A. Tsyryapkin, Michail G. Ryzhov, Ludmila A. Lysova, Vladimir I. Bakhmutov, and Vassili M. Belikov

Contribution from Nesmeyanov Institute of Organo-Element Compounds, Academy of Sciences of the U.S.S.R., Moscow, U.S.S.R. Received September 18, 1984

Abstract: The condensation of formaldehyde with a Ni(II) complex of glycine Schiff base with (*S*)-2-[*N*-(benzylpropyl)-amino]acetophenone (**1**) or (*S*)-2-[*N*-(benzylpropyl)amino]benzophenone (**2**) in CH₃OH at 25 °C in the presence of Et₃N yields (*S*)-Ser with an enantiomeric excess (ee) of 80–98%. The same reaction gives rise to (*R*)-Ser with an ee greater than 80% in the presence of more than 0.2 N CH₃ONa, α -(hydroxymethyl)serine being formed in negligible quantities. The reaction of benzaldehyde, 3,4-(methylenedioxy)benzaldehyde, and acetaldehyde with these Gly complexes in 0.2 N CH₃ONa at 25 °C yields β -hydroxy- α -amino acids: (*R*)- β -phenylserine, (*R*)-3,4-(methylenedioxy)- β -phenylserine, and (*R*)-threonine, respectively, with a threo/allo ratio ranging from 10:1 up to over 50:1 and ee more than 80%. Condensation with acetone yields (*R*)- β -hydroxyvaline with an enantiomeric purity of 70%. The enantiomerically pure β -hydroxy- α -amino acids can be obtained from pure diastereomers, isolated by chromatography on silica or Toyopearl HW-60. The initial reagents **1** and **2** were recovered with 60–98% yield. The stereochemical mechanism of the reaction is discussed.

β -Hydroxy- α -amino acids (**3**) represent an important group of natural products. In spite of the recent progress in the field of asymmetric synthesis of amino acids in general¹ and **3** in particular,² convenient preparative methods for chemical enantioselective synthesis of *threo*-**3** are still not available.

Scheme I



Here we wish to describe our approach to the solution of this problem by means of aldol condensation of chiral Gly derivatives with aldehydes and ketones.

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Condensation of free Gly seems to be the simplest way of 3 synthesis. However, this reaction can rarely be of synthetic value due to the low CH acidity of Gly, the undesirable reactivity of aldehydes or ketones, and the consequent predominance of side reactions. The only exception is the condensation of aromatic aldehydes with Gly as a preparative method for substituted β -phenylserine synthesis.^{3,4} However, this method yields achiral products as a mixture of threo and allo isomers.⁴

The use of Gly complexes with transition-metal ions rather than free Gly improves yields of reaction products and results in a significant increase in the diastereoselectivity of the process.^{5,6} For example, the condensation of acetaldehyde with a Gly metal complex yields racemic threonine⁵ with a threo/allo ratio of up to 3:1 (Scheme I).

Unfortunately, alkali-labile aldehydes (like sugar derivatives) cannot be used in this reaction due to the drastic experimental conditions involved, whereas condensation with formaldehyde yields mainly the product of bis addition, α -(hydroxymethyl)-serine.⁷ Furthermore, attempts to induce the condensation asymmetrically were unsuccessful.^{8,9}

The use of transition-metal complexes of Gly Schiff bases with salicylaldehyde¹⁰ or pyruvic acid¹¹ instead of free (Gly)₂ complexes improves the yields and widens the scope of the reaction.

We demonstrated earlier that asymmetric synthesis of threonylglycine¹² and threonine¹³ with an enantiomeric excess (ee) of 95% can be carried out by condensation of Cu(II) complexes of chiral Schiff bases of glycylglycine and Gly with acetaldehyde. Optically pure α -methyl- α -amino acids could also be produced via alkylation of the nickel(II) Schiff base of (*R,S*)-alanine with (*S*)-2-*N*-(*N'*-benzylpropyl)aminobenzaldehyde.^{13c}

The present study is concerned with a general method of asymmetric synthesis of 3 including serine via condensation of Cu(II) and Ni(II) complexes of Gly Schiff bases with 1 or 2 in methanol at room temperature. Both 1 and 2 may be recovered and reused after the reaction.

An important advantage of this reaction is its high diastereo- and enantioselectivity which permit us to obtain almost pure (*R*)-threo-3 with an ee greater than 80%. The unusual feature of this reaction is that it provides the opportunity to obtain either (*S*)-Ser or (*R*)-Ser with an ee of 80–95% and with the same chiral reagent by simply changing the pH of the solution. It was shown that the serine configuration is dependent on the pH due to

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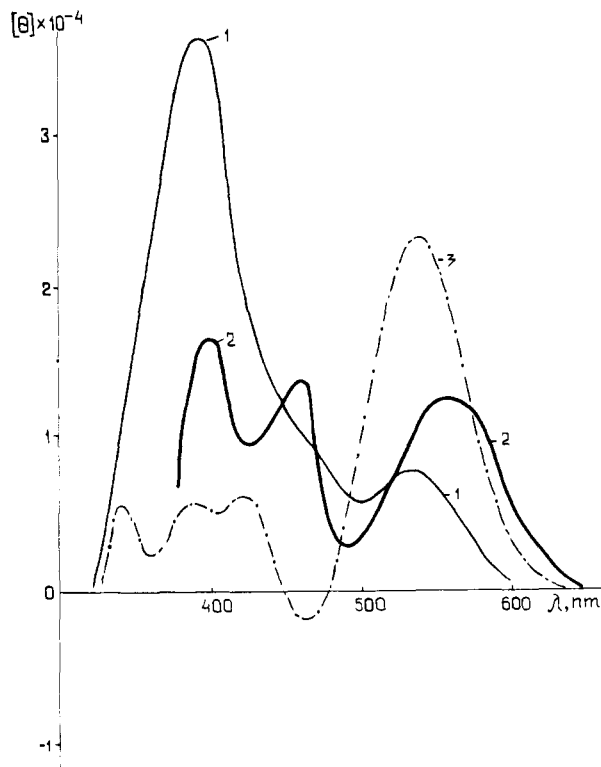
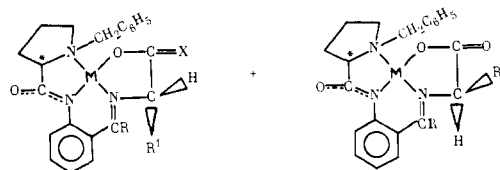
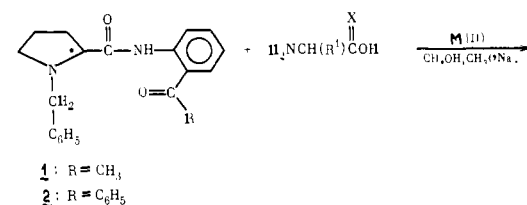


Figure 1. CD spectra at 23 °C: (1) 5 in CH₃OH, (2) 5 in 0.1 N methanol solution of CH₃ONa, (3) 4 in CH₃OH.

Scheme II



4: R = CH₃; R' = CH₂OH; X = O; M = Ni (II)
5: R = CH₃; R' = (CH₃)₂CH; X = H₂; M = Ni (II)

6a: R = C₆H₅; R' = H; X = O; M = Ni (II)

6b: R = C₆H₅; R' = H; X = O; M = Cu (II)

6c: R = CH₃; R' = H; X = O; M = Ni (II)

6d: R = CH₃; R' = H; X = O; M = Cu (II)

substitution at a high pH of the carboxylate group by an ionized hydroxy group of the amino acid side chain (at the main plane of Cu(II) and Ni(II) square complexes). The proposed stereochemical mechanism accounts for all the data observed.

CD spectra of Ni(II) complexes of 1 and 3 Schiff bases allow us to make an unambiguous assignment of the absolute configuration of 3 formed.

Results

1. Synthesis of 1 and 2. The condensation of (*S*)-*N*-benzylpropylamine hydrochloride with 2-aminoacetophenone or 2-amino-benzophenone in the presence of DCC improves the yields of 1 and 2 in comparison with the method previously described.^{13b}

2. Synthesis and Structure of Ni(II) and Cu(II) Complexes of Schiff Bases of Amino Acids of (*S*)-Valinol with 1 and 2. The interaction between the excess of Gly, (*R*)-Ser, (*S*)-Ser or (*S*)-valinol, Ni(NO₃)₂·6H₂O or CuSO₄·6H₂O, and 1 or 2 in the

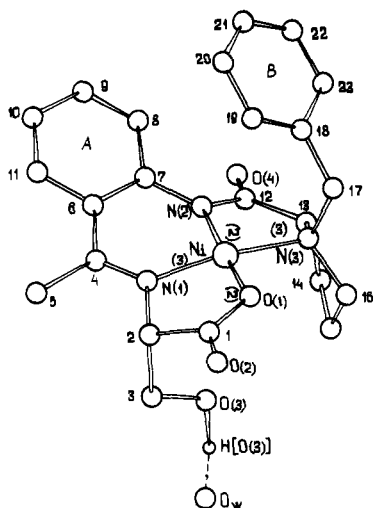
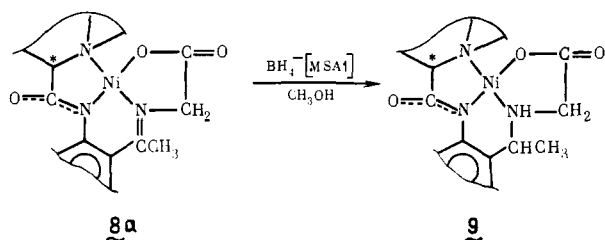


Figure 2. Structure of **4**. Selected bond lengths: N(1)-Ni, 1.857 (3); N(2)-Ni, 1.837 (2); N(3)-Ni, 1.937 (3); O(1)-Ni, 1.874 (2); O(3)-Ni, 3.33 Å.

Scheme III



presence of CH_3ONa at 45°C in MeOH under Ar gives rise to red complexes according to Scheme II.

The complexes formed are neutral, soluble in CHCl_3 , and in the case of Ni(II) complexes diamagnetic. After purification on SiO_2 and Sephadex LH-20, their elemental analyses (see Experimental Section) correspond to the calculated values. Diastereomeric Ni(II) complexes of Schiff bases of (*S*)-Ser and (*R*)-Ser with **1** (**4** and **5**, respectively) were separated by preparative TLC on SiO_2 . ^1H NMR spectra of these compounds in CDCl_3 possess the same set of signals, differing only in chemical shifts (see Experimental Section). Decomposition of all the complexes with HCl resulted in initial **1** and (*S*)-Ser (or (*R*)-Ser) in approximately a 1:1 ratio. The electronic spectra of both diastereomers in the region of metal d-d transition are almost identical. CD spectra are different in this region and exhibit two maxima (Cotton effects at 550 and 450 nm) (Figure 1) as is expected for diastereomers. The structure of **4** was confirmed by X-ray diffraction analysis (Figure 2). The Schiff base shown in Scheme II is coordinated as a tetradentate ligand by one oxygen atom of ionized carboxyl group and by nitrogen atoms of pyrrolidine ring, Ser moiety, and ionized amide group. The lengths of Ni-O and Ni-N bonds (see Figure 2) are close to those found earlier in Ni complexes with similar ligands.¹⁴ The benzyl group (B ring) is turned toward the metal atom (torsion angle Ni-N(3)-C(17)-C(18) $60.6(4)^\circ$). According to the strain energy minimization calculations, this conformation is the most stable one (see Experimental Section). The pyrrolidine nitrogen atom acquires an *R* configuration like in other complexes of Cu(II) or Ni(II) and amino acid Schiff bases with **1**.

The structures of Ni(II) and Cu(II) complexes of Gly Schiff base with **2** (**6a** and **6b**, respectively) and Ni(II) complex of (*S*)-valinol Schiff base with **1** (**7**) have been assigned by analogy with Ni(II) and Cu(II) complexes previously described¹⁴ and also on the basis of chemical and physical data (see Experimental Section). The double ketimine bond in these complexes is readily reduced in MeOH by BH_4^- immobilized on Dowex MSA-I resin as illustrated in the case of Ni(II) complex of Gly Schiff base with **1** (**8a**) (Scheme III).

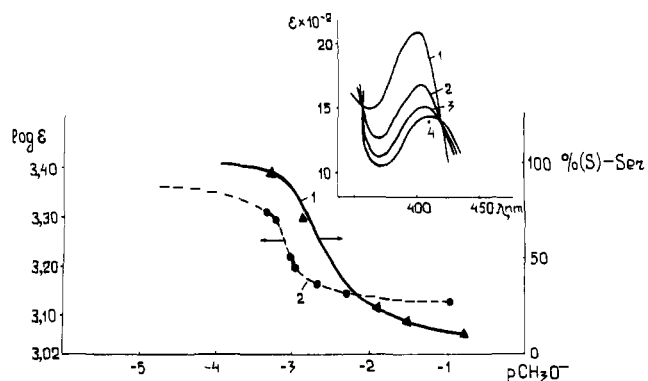


Figure 3. (1) Dependence of diastereomeric ratio of **4** and **5** on $-\log \text{CH}_3\text{O}^-$ ($\text{p}(\text{CH}_3\text{O}^-)$). (2) Dependence of absorption ($\log \epsilon$) of **5** at 400 nm on $\text{p}(\text{CH}_3\text{O}^-)$. The inset is the change of electronic spectrum of **5** as a function of CH_3O^- concentration: (1) 4.5×10^{-4} , (2) 7.2×10^{-4} , (3) 1.8×10^{-3} , (4) 0.1 M (the concentration of **5** is 2.8×10^{-4} M).

3. Dependence of Equilibrium between Diastereomers 4 and 5 on the Concentration of CH_3ONa . Similar to analogous complexes^{13b,14} the α -proton of the amino acid fragment in **4** and **5** is labile. The equilibrium between the diastereomers is established at 25°C under the action of CH_3ONa or Et_3N in MeOH. The position of this equilibrium can be easily determined after separating the mixture of diastereomers by TLC on SiO_2 . The decomposition of the equilibrium mixture gives a ratio of Ser enantiomers (by GLC analysis¹⁵) that coincides with that of the initial mixture of diastereomers, which proves that during decomposition no equilibration takes place. The same state of equilibrium is reached starting from each diastereomer. The epimerization rate increases with the pH. The rate constant of the process in 10^{-3} M Et_3N in the presence of formaldehyde is $(3.2 \pm 0.06) \times 10^{-4} \text{ s}^{-1}$. The unusual feature of the reaction is the dependence of its equilibrium on the pH of the solution. With an increase in the pH, the equilibrium is shifted toward **5**. Figure 3 exhibits the dependence of the (*S*)-Ser (or **4**) content in the equilibrium mixture of diastereomers on the CH_3O^- concentration. The latter was calculated taking into account the acidity of diastereomers and the initial amount of CH_3ONa (see below). The spectral characteristics of **5** (electronic and CD spectra) are also reversibly dependent on the pH. With an increase in the pH, the absorption of the complex at 400 nm decreases accordingly, as shown in Figure 3. The absorption maximum is shifted to 410 nm and the isosbestic point is observed at 420 nm.

It can be seen from Figure 3 that the spectral changes and variation in diastereomer ratio in the equilibrium mixture are described by similar typical titration curves.

Since spectral variations with an increase in the pH are not observed in the case of **8a**, the changes in properties of Ser complexes may be ascribed to the base-induced ionization of the amino acid side chain OH group. Provided the pK_a of methanol is equal to 16.7,¹⁶ the observed pK_a value of Ser OH group in complexes can be estimated as 14.10 ± 0.03 .

4. Condensation of Formaldehyde with 6a and 8a and Asymmetric Synthesis of Ser. Formaldehyde undergoes condensation with both **6a** and **8a** in methanol under the action of Et_3N or CH_3O^- according to Scheme IV.

When complete equilibrium was reached (i.e., when the diastereomer distribution ceased to change), the reaction mixture was neutralized by aqueous CH_3COOH and the complexes were extracted by CHCl_3 and decomposed by HCl. The initial **1** or **2** were recovered with 70–98% yield. The enantiomeric analysis of Ser was carried out by GLC technique¹⁵ and quantitative

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Table I. Condensation of **8a** and **6a** with Formaldehyde^a

run ^b	[CH ₃ O] ^c	complex/CH ₂ O	t, °C ^d	yield of ser, % ^f	unreacted gly, % ^f	(hydroxymethyl)-serine, % ^e	ee (config) ^f
1	0.18	1:1	25	67	20		82 (R)
2	0.20	1:1	25	66	18		88 (R) ^g
3	0.20	1:10	25	77			89 (R)
4	0.20	1:10	50	67	1		87 (R)
5	0.10	1:1	25	65	13		8 (R)
6	0.01	1:10	25	55	11		87 (S)
7	0.01	1:10	50	82	14		96 (S)
8	Et ₃ N	1:10	50	75	17	7.3	96 (S) ^h
9	0.18	1:1.5	25	95			88 (R)
10	Et ₃ N	1:10	50	67	3.2		33 (S) ^h
11	Et ₃ N/Et ₃ N·HCl	1:10	50	75		6	83 (S) ^{h,i}

^aThe initial concentration of the complexes in methanol was 0.05–0.2 M; the reaction was completed when the equilibrium was reached according to TLC data. ^bExperiments 1–8 were performed with **8a**; experiments 9–11 were performed with **6a**. ^cThe initial concentration of CH₃ONa (mol/L). ^dThe temperature was maintained with 0.5 °C accuracy. ^eDetermined by HPLC.¹⁸ ^fDetermined by GLC.¹⁵ ^gThe initial complex was obtained with **1** recovered from previous experiments. ^hThe initial concentration of Et₃N, 0.14 M. ⁱEt₃N:Et₃N·HCl = 2:1.

Table II. Condensation of Aldehydes or Acetone with **6** and **8**^a

run	complex	reactant	init concn of CH ₃ ONa, M	complex reactant	yield of 3 , % ^b	threo allo ^c	ee (config)
1	8a	CH ₃ CHO	1.50	1:10	72	20:1	84 (R), ^d 98 (R) ^h
2	8a	CH ₃ CHO	Et ₃ N/Et ₃ N·HCl ^e	1:10	32	2:1	Thr 78 (S), ^d <i>allo</i> -Thr 76 (S) ^d
3	8a	(CH ₃) ₂ CO	1.45	1:20	54, 31 ^f		72 (R), ^e 97 (R) ^{ef}
4	8b	(CH ₃) ₂ CO	1.70	1:10	55, 30 ^f		70 (R), 98 (R) ^{ef}
5	8b	C ₆ H ₅ CHO	1.70	1:10	67	50:1	74 (R) ^{ef}
6	8a	C ₆ H ₅ CHO	1.45	1:4	67	34:1	82 (R) ^{ef}
7	8a	CH ₂ O ₂ C ₆ H ₃ CHO	1.45	1:3	73	20:1	84 (R) ^g
8	6a	C ₆ H ₅ CHO	1.50	1:3	68, 60 ^h	50:1	88 (R), ^e 95 (R) ^{eh}
9	6a	(CH ₃) ₂ CO	1.30	1:100	56		98 (R) ^{eh}
10	6b	C ₆ H ₅ CHO	1.30	1:3	59	50:1	80 (R) ^e

^aIn methanol at 25 °C, the initial concentration of the complexes was 0.2 M. ^bDetermined by ¹H NMR by addition of a standard solution of dioxan in D₂O to **3** solution in D₂O. ^cAccording to ¹H NMR (200 MHz). ^dAccording to GLC.¹⁵ ^eAccording to polarimetric analysis. ^fAfter recrystallization from aqueous C₂H₅OH. ^gAccording to HPLC.¹⁸ ^h**3** was isolated from diastereomerically pure complex purified by chromatography. ⁱEt₃N:Et₃N·HCl = 2:1, concentration of Et₃N, 0.14 M.

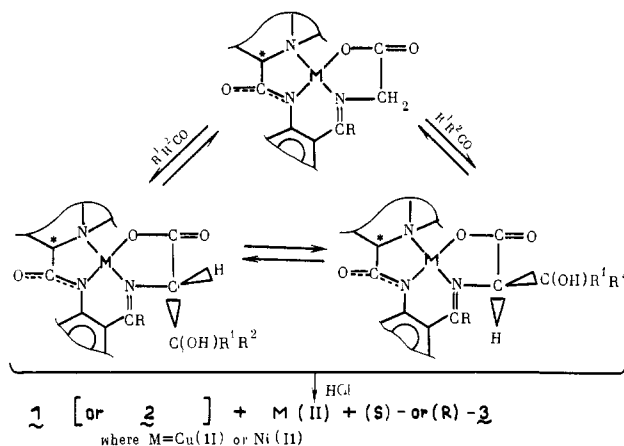
analysis by HPLC. The main experimental results are given in Table I. According to these data, Ser is the major reaction product. The bis-addition product, α -(hydroxymethyl)serine, was obtained only in small amounts at a low pH (see Table I, runs 8, 11). The data indicate that both the enantiomeric purity and the absolute configuration of the Ser formed depend on the pH in the same way as the ratio of enantiomers isolated from the equilibrium mixture of diastereomers **4** and **5** (see above). At a low pH (<0.01 N CH₃ONa) (*S*)-Ser predominates (Table I, runs 6, 7, 8, 10, 11); at a high pH (>0.1 N CH₃ONa) (*R*)-Ser is the major product (Table I, runs 1, 5, 9). The acidity range for **2** complexes where (*S*)-Ser is predominant is shifted to a low pH in comparison with **1** complexes (Table II, runs 8, 10, 11).

1 and **2** may be repeatedly used for asymmetric synthesis without a noticeable change in the asymmetric yield (see Table I, run 2) as shown in the case of **1**.

5. Condensation of Acetaldehyde, Benzaldehyde, 3,4-(methylenedioxy)benzaldehyde, and Acetone with **6 and **8**.** Condensation was carried out similarly to serine synthesis according to Scheme IV. The equilibrium of the reactions, however, is shifted to a greater extent toward the initial products; therefore, the greater excess of aldehydes or ketones is required (see Table II). TLC has been used to monitor the reaction. When the ratio of diastereomers ceased to change, the reaction mixture was treated as described in the Experimental Section.

Unlike formaldehyde, all the other aldehydes yield **3**, having two asymmetric centers (α and β). The ratio of threo and allo forms of Thr as well as its enantiomeric composition may be determined by GLC.¹⁵ The presence of threo and allo forms of β -phenylserine and 3,4-(methylenedioxy)- β -phenylserine has been determined qualitatively by paper chromatography^{4e} or by TLC on cellulose. This ratio was quantitatively estimated by ¹H NMR (200 MHz). According to the data obtained, the threo form of **3** was formed (>96%) at a high pH, whereas at a low pH (Et₃N) the threo:allo ratio decreased (Table II, run 2).

Scheme IV



R	CH ₃		C ₆ H ₅	
R ¹	H	CH ₃	C ₆ H ₅	3,4-(CH ₂ O ₂)C ₆ H ₃
R ²	H	CH ₃	H	CH ₃

To determine the absolute configuration of C(α) of the major **3** enantiomer, the CD spectra of the complexes formed upon condensation were recorded. Figure 4 demonstrates the calculated vicinal contribution¹⁷ of **3** to CD spectra of the mixture of diastereoisomeric complexes obtained at CH₃ONa concentration greater than 0.5 N and then neutralized. The vicinal contribution of the fragments of (*S*)-Ser and (*R*)-Ser is also presented for comparison. It may be deduced from these data that diastereomers

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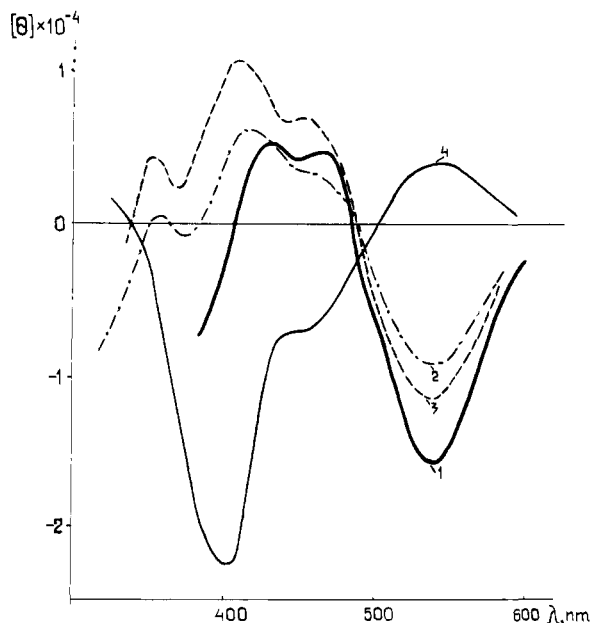


Figure 4. Vicinal contributions of amino acid fragments to the CD spectra of a neutralized reaction mixture upon condensation of **8a** with (1) 3,4-(methylenedioxy)benzaldehyde and (2) benzaldehyde; (3 and 4) vicinal contributions calculated for pure diastereomers containing (*R*)-Ser and (*S*)-Ser, respectively.

containing (*R*)-**3** prevail at a high pH regardless of the aldehyde or ketone structure.

The ratio of enantiomers of the aromatic **3** was determined by polarimetry and ligand-exchange chromatography.¹⁸ The racemic samples of **3** were specially synthesized starting from racemic **8a** for HPLC instrument calibration. The enantiomeric purity of β -hydroxyvaline was measured by polarimetry. The results are given in Table II. As well as in the case of Ser, the enantiomeric purity and absolute configuration of **3** depend on the pH. At a low pH (Et_3N) the formation of (*S*)-**3** is favorable (Table II, run 2); at a high pH (*R*)-**3** is predominantly obtained (Table II, runs 1, 3–10).

The Ni(II) and Cu(II) complexes give (*R*)-**3** with similar optical yields and threo:allo ratio (Table II, runs 5, 6, 9, 10).

1 and **2** recovered from the reaction mixture retain their enantiomeric purity according to ¹H NMR with $\text{Eu}(\text{TFC})_3$.

In order to obtain the enantiomerically pure **3**, the mixture of diastereomeric complexes may be separated by chromatography on SiO_2 or Toyopearl HW-60.

Discussion

The mechanism of aldol condensation of aldehydes or acetone with **6** or **8** seems to be similar to the generally accepted one for the condensation of other Gly metal complexes with aldehydes.^{7,19} It is assumed to consist of several steps, the first of which is a base-catalyzed abstraction of an α -proton from the Gly fragment followed by the addition of the resulting carbanion to a carbonyl group.^{7,19}

If the condensation step is not accompanied by the formation of oxazolines, the threo:allo ratio is close to 1:1.^{10,12,19b}

Actually, the Gly fragment in **6** or **8** has a significant CH acidity, and its α -protons are easily exchanged for deuterium in CH_3OD under the action of such a weak base as Dabco.¹⁴ At a low pH (Et_3N) the observed enantioselectivity at the β -carbon (threo:allo ratio) of condensation of **6** or **8a** with acetaldehyde is actually low (Table II, run 2). However, the enantioselectivity of the process at the α -atom is high and this should be discussed in detail, taking into account that the diastereoselectivity of the

reaction is thermodynamically controlled at all pHs of the solution (see Results).

It has been found earlier that in a series of analogous complexes, a diastereomer with (*S*)-amino acid is energetically favorable.¹⁴ The thermodynamic preference of the diastereomer with (*S*)-Ser (see Figure 3), as well as the formation of (*S*)-Ser upon condensation of **8a** with formaldehyde in CH_3OH at a low pH, is in accord with this trend. Thus, the observed preferential formation of (*S*)-Thr upon condensation of **8a** with acetaldehyde at a low pH could be expected. Finally, the conformational calculations on Ni(II) complexes of the Schiff bases of (*S*)-Thr and (*R*)-Thr with **1** (**10** and **11**) show an energy difference equal to 1.7 kJ/mol in favor of the (*S*)-Thr diastereomer. The threo:allo ratio for this diastereomer was calculated as 1.2, which reasonably correlates with experimental results (Table II, run 2).

However, even in the case of energetically favorable diastereomers, strong intramolecular nonbonding interactions are already present. For example, the mutual repulsion of the substituent at the ketimine double bond and amino acid side chain results in the pseudoaxial orientation of this chain. Such a conformation was observed in similar complexes earlier¹⁴ and is clearly seen in **4** (see Figure 2). As a consequence, the α -hydrogen of amino acid fragment adopts a pseudoequatorial orientation and is shielded by the substituent at the $\text{C}=\text{N}$ bond (CH_3 or Ph). The substitution of this hydrogen atom for a more bulky group like, for example, a hydroxymethyl group, would cause a strong repulsive interaction, which in a rigid polycyclic system of chelate rings could not be easily minimized. Therefore, upon condensation of **6** or **8** with formaldehyde, the product of addition of the second formaldehyde molecule to the Ser fragment (α -(hydroxymethyl)serine) was either formed in small amounts or not formed at all (see Table I). This product did not form at a high pH either. But preferable formation of (*R*)-**3** at a high pH cannot be understood on the basis of the usual structure of the complexes under study (see Figure 2). Clearly, ionization of the side chain hydroxy group plays an important part in making (*R*)-**3**-containing diastereomer thermodynamically more stable (see Results). The question to be answered then, is what kind of complex structure was realized in these solutions.

By analogy with the mechanism of condensation of aldehydes and metal Gly complexes,^{20,21} we assumed that it could be a negatively charged oxazolidine particle according to Scheme V (route a).

The second possible reaction pathway involves ionization of the hydroxyl group in the condensation product, which is followed by a rearrangement leading to the substitution of an ionized carboxyl group for an ionized hydroxyl group in the main coordination sphere of Ni(II) or Cu(II) (Scheme V, route b).

The latter type of transformation was already proposed to account for the changes in spectra of the $(\text{Thr})_2\text{Cu}^{\text{II}}$ complex as the pH was increased.²²

Products a and b (see Scheme V) should have different spectral properties in the region of 380–400 nm.

It is known that a strong charge-transfer $\pi-\pi^*$ transition (ϵ 1000–10 000; λ 380–400 nm) is a typical feature of the metal complexes of the Schiff bases formed by salicylic aldehyde and pyridoxal with amino acids²³ or β -amino alcohols.²⁴ All the

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Scheme V

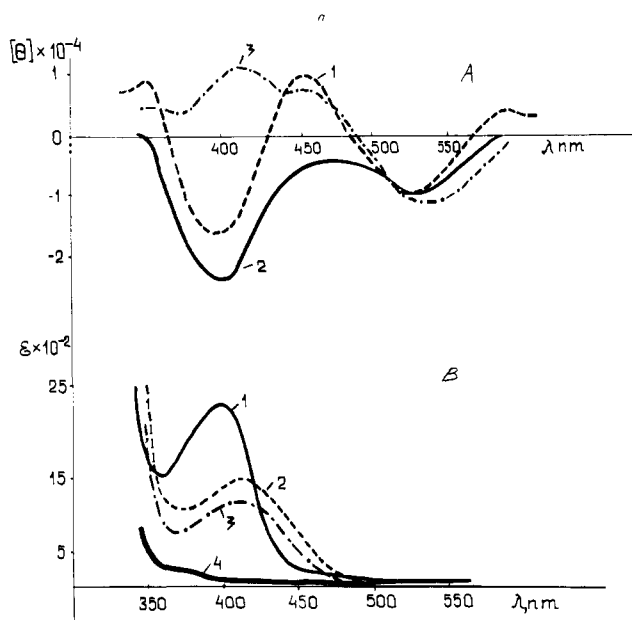
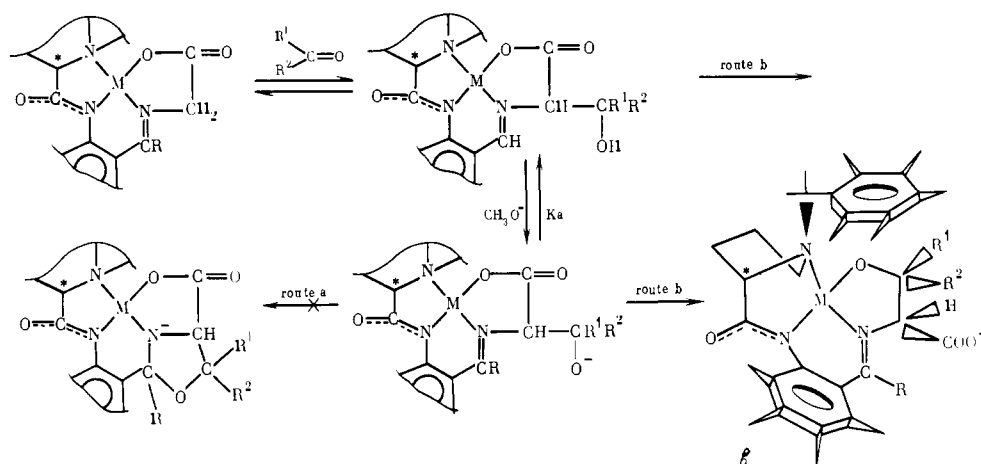


Figure 5. (A) Vicinal contributions of amino acid fragments to CD spectra: (1) **5** in 0.1 N CH_3ONa , (2) **7** in CH_3OH , (3) **5** in CH_3OH . (B) Electronic spectra of the complexes: (1) **5** in CH_3OH , (2) **5** in 0.1 N CH_3ONa , (3) **7** in CH_3OH , (4) **9** (the product of ketimine bond reduction in **8a**) in CH_3OH .

electronic spectra of the complexes under study also have a band in this region^{13b,14} (see also Figure 5), which could be tentatively accounted for by the charge-transfer transition from the ionized amide group to the ketimine double bond. The formation of oxazoline (product a) would result in the disappearance of the ketimine double bond along with the disappearance of the 400-nm transition.

We synthesized the product of reduction of the ketimine double bond in **8a** (**9**) (see Scheme III), which should imitate the product a by its spectral parameters (see Scheme V), and **7** (see Scheme II), imitating the product b. As expected, the spectrum of **9** does not actually contain a 400-nm $\pi-\pi^*$ transition band (see Figure 5), but the electronic spectrum of **5** in 0.1 N CH_3ONa when the complete ionization of this complex occurs (see Figure 3) retains the intense transition at 410 nm, although its magnitude is somewhat less than in the initial nonionized complex and its intensity is close to that of **7** (see Figure 5). This seems to support the structure of the condensation product in a strongly basic medium as it is presented in Scheme V, route b.

Additional proof comes from the analysis of the CD spectrum of **5** in a strongly basic solution. As expected, this spectrum differs from that in pure CH_3OH (see Figure 1). Figure 5 shows the vicinal contribution of the (*R*)-Ser fragment to the CD spectra of **5** in a basic solution, and for comparison the vicinal contribution of (*S*)-valinol fragment to the CD spectra of **7** is also presented.

As revealed by these data, both contributions resemble each other.

These results may be explained by assuming that Cotton effects in the region of metal d-d transitions are mainly associated with chiral conformation of chelate rings.^{17,25}

The conformation of amino acid or β -amino alcohol chelates is dictated in our case by the preference for a pseudoaxial disposition of the side chain (see Figure 2), which has already been discussed. Both the isopropyl group in the case of (*S*)-valinol and the carboxylate group in the case of (*R*)-Ser in the b-type compound (see Scheme V; $\text{R} = \text{R}^2 = \text{H}$) are positioned on the side of the coordination plane opposite to the phenyl ring of the benzyl group. The distortion of the β -amino alcohol fragment forces it to adopt in both cases a λ -conformation. The chiral distortions of other chelate rings incorporated into the common rigid polycyclic structure should follow a similar tendency for both complexes.

When the pH is decreased, the complex having b structure should become protonated and rearrange itself into the regular structure with coordinated carboxyl group (see Figure 2) and both the UV-vis and CD spectra become usual ones, as in fact was observed.

The stereochemistry of the condensation process could also be related to the formation of product b in the course of a high-pH condensation. In fact, the position of carboxylate and alkyl (phenyl) groups on opposite sides of the amino alcohol chelate cycle ($\text{R}^2 = \text{H}$; $\text{R} = \text{alkyl}$ or phenyl) out to be more sterically favorable than a one-sided disposition (see Scheme V) producing the threo isomer as a major product. Moreover, the thermodynamically favorable orientation of the carboxylate group opposite to the phenyl ring of the *N*-benzyl substituent is possible for the isomer with *R* configuration of the α -carbon atom, whereas, in the case of the *S* isomer, the unfavorable steric interaction of the carboxylate with the *N*-benzyl group would appear, which explains the preferable (*R*)-**3** formation at a high pH of the solution.

Experimental Section

General. The amino acids were supplied by Reanal (Budapest) and Reakhim (Moscow). (*S*)-Valinol, *o*-aminoacetophenone, and *o*-amino-benzophenone were purchased from Fluka and were used without further purification. (*S*)-*N*-Benzylproline was obtained earlier^{13b} and used in this work. CH_3ONa was prepared by adding metallic Na to CH_3OH under argon with cooling. ^1H NMR spectra were recorded on Bruker 200 and Tesla NMR-BS-467A instruments using $\text{Me}_3\text{SiOSiMe}_3$ as an internal reference. For the D_2O solutions $\text{Me}_3\text{SiOSiMe}_3$ sealed in a glass capillary was used as an external reference. The molecular weights of the complexes were determined ebulliometrically on a EP-75 instrument. Melting points were obtained on a Thomas-Hoover apparatus in open capillary tubes and are uncorrected. Thin-layer or preparative-layer

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plates were made of E. Merk A6 Darmstadt silica gel PF₂₅₄.

X-ray Experiments. Crystals of **4** were obtained from CH₃OH. The unit cell parameters and intensities of reflections were measured with a four-circle automatic Hilger and Watt diffractometer (Mo K irradiation, graphite monochromator) at room temperature. The rhomboid crystals had $a = 9.658$ (2) Å, $b = 11.782$ (3) Å, $c = 19.322$ (3) Å, $V = 2199$ (1) Å³, $z = 4$, $d_{\text{calcd}} = 1.41$ g cm⁻³, the spatial group p is 2₁2₁2₁. The intensities of 2355 independent reflections with $I > 2\sigma$ were measured by $\theta/2\theta$ scanning method ($\theta \leq 30^\circ$). The structure was solved by the heavy atom method and refined in a full-matrix anisotropic approximation to $R = 0.029$, $R_w = 0.038$. The hydrogen atoms were located objectively.

Conformation energy calculations of some complexes were done according to a published procedure²⁶ using the MOLBD-3 program,²⁷ which was kindly supplied by Professor R. H. Boyd. The conformation energy (U) is represented by the summation $\sum U_i = \sum U_B = \sum U_{NB} + \sum U_\theta + \sum U_\phi$, where the terms are functions describing contributions from bond stretching, nonbond interactions, angle deformation, and bond torsion, respectively. The form and parametrization of these contributions were taken from ref 26. The strain energy minimization procedure was continued until the shift of the coordinates was not more than 0.001 Å. All the calculations were done on a Soviet-made ES-1060 computer. The first approximated atom coordinates were based on X-ray data for **4** and analogous complexes.¹⁴

For diastereomers **10** and **11**, the conformation energies were calculated for different benzyl group orientations with torsion angle, τ (Ni-N(3)-C(17)-C(18)), varied with 20° intervals. The torsion barrier was found to be 21 kJ/mol and three energy minima were found at $\tau = +60^\circ$, 180° , and -60° , the latter being the deepest. The rotation of the Thr side chain around the C-C bond indicates the presence of three minima with similar energies. The calculated value of the rotation barrier is ca. 30 kJ/mol.

The enantiomeric purity of Thr and Ser was determined by GLC.¹⁵ The enantiomeric analysis of β -phenylserine and 3,4-(methylenedioxy)- β -phenylserine was carried out by HPLC on chiral enantiomeric phases.¹⁸ The ratio of Ser and α -(hydroxymethyl)serine was determined similarly on the phase described earlier.^{18b} The enantiomeric purity of β -hydroxyvaline was determined by polarimetry. In the latter case, the amino acid was dissolved in 1 N HCl and evaporated to dryness, then a certain volume of dioxan solution in D₂O was added, and the amount of amino acid in the solution was determined by ¹H NMR. The measurement of optical rotation was performed after evaporation of the sample followed by dissolving it in a certain volume of 6 N HCl.

α -(Hydroxymethyl)serine was prepared according to the method reported in ref 7. Anal. (C₄H₉O₄N): C, H, N.

(S)-N-Benzylproline hydrochloride was obtained after the dissolving of (S)-N-benzylproline in 1 N HCl. The solution was evaporated in vacuo and the resulting solid dried under reduced pressure over P₂O₅, mp 179–180 °C. Anal. (C₁₂H₁₆NO₂Cl): C, H, N.

Synthesis of (S)-2-[(N-Benzylprolyl)amino]acetophenone and (S)-2-[(N-Benzylprolyl)amino]benzophenone (1 and 2). Both **1** and **2** were obtained by the same procedure described below. Dicyclohexylcarbodiimide (DCC), 0.36 g (3.75 mmol), was added in three portions to the mixture of 0.6 g (2.5 mmol) of (S)-N-benzylproline hydrochloride and 0.36 g (2.5 mmol) of *o*-aminoacetophenone in 2 mL of dry CH₂Cl₂ with stirring and cooling at -20 °C. The reaction was monitored by TLC on silica gel in CHCl₃-benzene-ether-ethanol-acetic acid (10:10:10:2.5:2.5). The mixture was stirred further for 2 h upon cooling, and after the addition of 10 mL of H₂O and 20 mL of benzene, the pH of the aqueous layer was adjusted to 9 with dry Na₂CO₃. The organic layer was removed and the aqueous layer was extracted (5 × 10 mL) with benzene. The organic layer and extracts were combined and the solvent was evaporated until dicyclohexylurea precipitated and then was filtered. The filtrate was collected and the solvent removed in vacuo, the product was recrystallized from petroleum ether (bp 40–70 °C) to give 0.4 g (1.24 mmol) (50%) of **1**: mp 118–119 °C, $[\alpha]_{578}^{25} = -112.5^\circ$ (c 0.08, CH₃OH) (lit.^{13b} mp 115–116 °C, $[\alpha]_{578}^{25} = -110.71^\circ$ (c 0.08, CHCl₃)). Anal. (C₂₀H₂₂N₂O₂): C, H, N.

2 was obtained similarly with a 60% yield: mp 101–102 °C, $[\alpha]_{578}^{25} = -134.5^\circ$ (c 0.5, CH₃OH); UV-vis (CH₃OH) λ (log ϵ) 240 (max) (4.35), 300 (min) (3.30), 333 nm (3.57); ¹H NMR (CDCl₃) δ 1.5–2.5 (m, 7 H, Pro), 3.40, 3.83 (AB, $J = 12$ Hz, 2 H, CH₂ Bzl), 6.75–8.50 (m, 15 H,

Ar H). Anal. Calcd for C₂₅H₂₄N₂O₂: C, 78.10; H, 6.29; N, 7.28. Found: C, 78.21, H, 6.48; N, 7.53.

Racemic 1 was obtained similarly from racemic (*R,S*)-N-benzylproline, mp 92–93 °C.

Synthesis of 6a was conducted as described below. To 1 g (2.6 mmol) of **2** and 1.49 g (5.1 mmol) of Ni(NO₃)₂·6H₂O in 15 mL of MeOH was added 0.97 g (1.3 × 10⁻² mol) of Gly in 15 mL of 1.2 N MeONa, the mixture was then stirred for 2 h at 50 °C under argon. The reaction was monitored by TLC (SiO₂, CHCl₃-acetone, 5:1). When the composition of the reaction mixture ceased to change, 50 mL of H₂O was added and the complex was extracted by CHCl₃ (4 × 25 mL), the solvent evaporated in vacuo, and the complex purified on silica gel (3 × 50 cm column) in CHCl₃-acetone (5:1) and on Sephadex LH-20 in C₆H₆-C₂H₅OH. The yield of **6a** was 1.25 g (2.5 mmol), 93%, mp 208–212 °C dec; UV-vis λ (log ϵ) 540 (sh) (2.27), 420 (3.45), 330 (3.67), 260 nm (sh) (4.35); [M] (CH₃OH) λ ([M]) 578 (10635), 546 (6906), 436 (4558), 365 nm (-9945); ¹H NMR (CDCl₃) δ 1.85–3.90 (m, 7 H, Pro), 3.5, 4.4 (AB, $J = 12$ Hz, 2 H, CH₂ Bzl), 3.68 (2 H, s, CH₂ Gly), 6.5–8.1 (14 H, m, Ar H). Anal. Calcd for C₂₇H₂₄N₃O₃·Ni·H₂O: C, 62.81; H, 5.27; N, 8.14. Found: C, 62.40; H, 4.91; N, 8.42.

6b was obtained according to a similar procedure: mp 131–139 °C; UV-vis λ (log ϵ) 575 (1.98), 365 (3.62), 255 nm (4.41); [M] (CH₃OH) λ ([M]) 578 (9467), 546 (2663), 436 (-4733), 365 (-5917). Anal. Calcd for C₂₇H₂₅N₃O₃Cu: C, 64.46; H, 5.01; N, 8.34. Found: C, 64.28; H, 4.79; N, 8.24.

Synthesis of 8a: A mixture of 3.22 g (10 mmol) of **1**, 5.82 g (20 mmol) of Ni(NO₃)₂·6H₂O in 60 mL of CH₃OH, and 3.75 g (50 mmol) of Gly in 45 mL of 1.33 N CH₃ONa was stirred at 40–50 °C under argon. The dense precipitate formed within an hour and was dissolved by the addition of 11 mL of H₂O. Then 4 mL of 1.33 N CH₃ONa was added. The reaction was monitored by TLC (SiO₂, CHCl₃-acetone (5:1)). When the composition of the reaction mixture ceased to change, 100 mL of 5% aqueous CH₃COOH was added and the complex was extracted by CHCl₃ (4 × 50 mL). The combined extracts were washed with 5% aqueous CH₃COOH and evaporated in vacuo. Then the residue was dissolved in 15 mL of acetone and 100 mL of hexane was added. The precipitated complex was filtered; 3.35 g (7.7 mmol) 77% of **8a** was isolated. Its spectral and other characteristics were identical with those previously obtained.¹⁴

8b was available from the previous work.^{13b}

Diastereomeric complexes 4 and 5 were obtained from (*R,S*)-Ser similarly to complexes of Gly and separated by preparative chromatography on silica gel in a CHCl₃ (CH₂Cl₂)-acetone (5:1) system and purified on Sephadex LH-20 in a C₆H₆-C₂H₅OH (3:1) system.

5: mp 212–213 °C dec; UV-vis (CH₃OH) λ (log ϵ) 500 (1.89), 400 (3.38), 320 (3.73), 260 nm (4.19); [M] (CH₃OH) λ ([M]) 578 (7944), 546 (8143), 436 (11539), 365 nm (-30844); ¹H NMR (CDCl₃) δ 1.93 (1 H, m, δ -Pro), 2.13 (2 H, m, γ , δ -Pro), 2.23 (2 H, m, β -Pro), 2.45 (3 H, s, CH₃), 2.85 (1 H, m, γ -Pro), 3.60, 4.25 (2 H, AB, $J = 12$ Hz, CH₂ Bzl), 3.40 (1 H, m, α -H Pro), 3.75 (2 H, m, β -H Ser), 4.25 (1 H, m, α -H Ser), 6.75–8.12 (9 H, m, Ar H). Anal. Calcd for C₂₃H₂₅N₃O₄Ni: C, 59.20; H, 5.41; N, 9.01. Found: C, 59.50; H, 6.05; N, 8.74. Calcd 466; found 467.

4: mp 187 °C dec; UV-vis (CH₃OH) λ (log ϵ) 500 (1.89), 408 (3.35), 320 (3.35), 260 nm (3.94); [M] (CH₃OH) λ ([M]) 578 (8446), 546 (5446), 436 (-1092), 365 nm (-2912); ¹H NMR (CDCl₃) δ 1.75–3.62 (7 H, m, H Pro), 2.50 (3 H, s, CH₃), 3.75, 4.22 (2 H, AB, $J = 10$ Hz, CH₂ Bzl), 3.87 (2 H, m, β -Ser), 4.47 (1 H, m, α -H Ser), 7.00–8.30 (9 H, m, Ar H). Anal. Calcd for C₂₃H₂₅N₃O₄Ni·2H₂O: C, 55.0; H, 5.82; N, 8.36. Found: C, 55.53; H, 5.83; N, 8.16.

7 was obtained as above. The only difference was that the molar ratio (S)-valinol/**1** was 3:1; the yield was 90%; mp 98–100 °C dec; UV-vis (CH₃OH) λ (log ϵ) 410 (3.09), 540 nm (2.01); [M] (CH₃OH) λ ([M]) 578 (5948), 548 (-1342), 436 (-1342), 364 nm (-4025); ¹H NMR (CDCl₃) δ 1.9–3.2 (7 H, m, Pro), 1.05, 1.20 (6 H, dd, $J = 6$ Hz, 2 CH₃), 2.67 (1 H, m, α -H valinol), 2.85–3.27 (2 H, m, CH₂OH), 3.24 (1 H, m, β -H valinol), 3.42, 4.32 (2 H, AB, $J = 15$ Hz, CH₂ Bzl), 6.7–8.1 (9 H, m, Ar H). Anal. Calcd for C₂₅H₃₁N₃O₂Ni·H₂O: C, 62.26; H, 6.89; N, 8.71. Found: C, 61.99; H, 6.40; N, 8.64. Calcd 482, found 485.

9: A solution of 0.15 g (0.34 mmol) of **8a** in 20 mL of CH₃OH was added to 10 g of MSA-I resin in BH₄⁻ form,²⁸ and the mixture was stirred for 12 h, after which the resin was filtered and the reaction products separated by preparative TLC on SiO₂ (CHCl₃-Me₂CO-C₂H₅OH, 11:1:1). Four fractions were isolated. The yield of the major fraction (second one) was 0.06 g (0.13 mmol) 37%: mp 138–140 °C dec; UV-vis (CH₃OH) λ (log ϵ) 460 (1.99), 400 (2.29), 285 nm (4.62); [M] (CH₃OH) λ ([M]) 578 (-3778), 436 (4568), 364 nm (1628); ¹H NMR

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(CDCl₃) δ 1.99–3.10 (7 H, m, Pro), 1.65 (3 H, d, J = 6 Hz, CH₃), 2.91 (1 H, m, HCN), 3.42 (2 H, m, CH₂N), 3.24 (2 H, AB, J = 12 Hz, CH₂Bz), 6.8–8.0 (9 H, m, Ar H). Anal. Calcd for C₂₂H₂₅N₃O₃Ni: C, 60.30; H, 5.75; N, 9.58. Found: C, 60.10; H, 5.98; N, 9.16. Calcd 438, found 460.

Isolation of amino acids and recovery of 1 and 2 from the complexes were carried out according to the standard procedure described for 4. The suspension of 1.9 g (4 mmol) of the complex in 50 mL of CH₃OH was slowly added to a vigorously stirred 0.5 N aqueous HCl solution (25 mL) at 50–60 °C. After the complex was decomposed (10–20 min), the pH of the solution was adjusted to 9.0 by adding a concentrated ammonia solution and 1 was extracted with CHCl₃, yield 96%. The amino acid was isolated from the aqueous layer on Dowex-50 (H⁺ form) with a yield of 92%. The internal standard, (S)-Ala, was added sometime before the complex was decomposed to make a precise quantitative analysis possible. The complexes of aromatic 3 or β -hydroxyvaline were added to the HCl solution either directly as a reaction mixture (see below) or as a solution of the complex in THF (or in a THF–benzene mixture). The rate of decomposition of Cu(II) complexes was significantly greater than that of Ni(II) ones.

Equilibrium between 4 and 5. The initial concentration of the complexes was 0.43 M. The equilibrium concentration of CH₃O⁻ ions was calculated taking into account the initial concentration of CH₃O⁻ ion and acidity of 4 and 5. The pH of Et₃N solutions in CH₃OH was assessed spectrophotometrically by using 5 as an indicator.

Condensation of Aldehydes and Acetone with 6 and 8. (a) **Synthesis of (S)-Ser and (S)-Thr** was performed according to a typical procedure, described below for 8a. To 1.8 g (4 mmol) of 8a in 15 mL of CH₃OH were added 0.3 mL of H₂O, 2.2 mL of Et₃N, and 1.29 g (43 mmol) of paraformaldehyde. The reaction mixture was stirred for 5 h at 50 °C. The reaction was monitored by TLC of neutralized samples (SiO₂, CHCl₃–Me₂CO, 5:1). When the composition of the reaction mixture ceased to change, it was poured into the mixture of 100 mL of 5% aqueous CH₃COOH, 50 mL of CHCl₃, and 40 mL of C₂H₅OH. The aqueous layer was extracted with CHCl₃ (5 \times 50 mL). The chloroform was evaporated in vacuo; the complex 4 was dissolved in CH₃OH and decomposed as described above.

The experiment for 6a differed in addition to the reaction mixture of Et₃N·HCl in molar ratio to Et₃N of 1:2.

(b) **Syntheses of (R)-Ser, (R)- β -hydroxyvaline, (R)-Thr, (R)-threo- β -(*o*-hydroxyphenyl)serine, and (R)-threo-3,4-(methylenedioxy)- β -phenylserine** were performed according to procedures A or B.

Procedure A is illustrated by the synthesis of (R)-threo- β -phenylserine. To 1.5 g (3 mmol) of 6a in 15 mL of 1.5 N CH₃ONa was added 1.0 g (94 mmol) of benzaldehyde in 2 mL of THF and the mixture was stirred for 20 min, then the reaction mixture was added dropwise to 100 mL of 5.5 N HCl during vigorous stirring at 50 °C, the stirring was continued until the disappearance of the complex, then the solution was evaporated in vacuo to 20–30 mL, and 5% aqueous NH₃ was added to pH 9. 2 was extracted with CHCl₃ (5 \times 50 mL); the yield was 1.1 g (2.7 mmol), 90%. The amino acid was isolated from the aqueous layer with Dowex-50 (H⁺ form). The yield of (R)-threo- β -phenylserine was 0.37 g (2 mmol), 68%; [α]_D²⁵ +44.0° (c 0.6, 6 N HCl), ee 88% (lit.²⁹ for (S)-threo- β -phenylserine, [α]_D²⁵ –50.0° (c 2, 6 N HCl)); ¹H NMR (D₂O–DCl) δ 4.50 (1 H, d, J = 4 Hz, β -H), 5.67 (1 H, d, J = 4 Hz, α -H), 7.70 (5 H, m, Ar H). Anal. (C₉H₁₁NO₃): C, H, N. The results for other (R)-3 are summarized in Tables I and II.

Procedure B differs from procedure A in neutralization of the reaction mixture with aqueous CH₃COOH followed by extraction with CHCl₃. The chloroform layer was evaporated and the residue chromatographed. The procedure is illustrated by the synthesis of the enantiomerically pure

(R)- β -hydroxyvaline. To 1 g (2 mmol) of 6a in 3 mL of 1.33 N CH₃O–Na and 0.4 mL of H₂O after it was stirred for 15 min was added 15 mL (0.21 mol) of acetone. The reaction course was controlled by TLC (neutralized samples, SiO₂, THF–C₆H₆ (1:1)). When the reaction was completed, the reaction mixture was slowly added to the mixture of 100 mL of CHCl₃ and 200 mL of 5% aqueous CH₃COOH under vigorous stirring. The aqueous and the organic layers were separated and the former was extracted with chloroform (2 \times 20 mL). The chloroform extracts were combined and evaporated in vacuo. The residue was separated on Toyopearl HW-60 resin (50 \times 5 cm) in THF–C₆H₆ (2:7). The first fraction without evaporation was added dropwise to 100 mL of 0.5 N HCl during vigorous stirring at 40 °C. Then the organic layer was separated and the aqueous layer was evaporated in vacuo to a minimal volume; the pH of the aqueous layer was adjusted to 9 with a 5% solution of NH₃ and was extracted with chloroform (4 \times 50 mL). The extracts were combined, washed with 5% aqueous Na₂CO₃, and evaporated in vacuo. 2, 0.45 g (1.2 mmol), was isolated. The aqueous solution was desalted on Dowex-50 (H⁺ form) and (R)- β -hydroxyvaline was isolated: 0.15 g (1.13 mmol), 56%, mp 200–201 °C, [α]_D²⁵ –11.1° (c 0.64, 6 N HCl) (lit.³⁰ [α]_D²⁵ –11.2° (c 2, 5 N HCl)); ¹H NMR (D₂O–DCl) δ 1.60, 1.75 (6 H, s, 2 Me) 4.25 (1 H, s, α -H). Anal. Calcd for C₅H₁₁NO₃: C, 45.10; H, 8.32; N, 10.51. Found: C, 45.12; H, 8.28; N, 10.36.

(R)-threo- β -Phenylserine was obtained according to this procedure with a yield of 60%, [α]_D²⁵ +47.9° (c 0.44, 6 N HCl), ee 95%.

(R)-threo- β -(3,4-Methylenedioxy)- β -phenylserine: yield 73%, mp 160 °C dec, [α]_D²⁵ +29.0° (c 1.56, 6 N HCl); ¹H NMR (D₂O–DCl) δ 4.50 (1 H, d, J = 5 Hz, β -H), 5.47 (1 H, d, J = 5 Hz, α -H), 6.02 (2 H, s, CH₂), 7.00–7.27 (3 H, m, Ar H). Anal. Calcd for C₁₀H₁₁NO₃: C, 53.33; H, 4.92; N, 6.22. Found: C, 52.70; H, 5.15; N, 6.19.

Comments. The complexes of 3 are unstable in a polar medium and decompose forming the initial complex of Gly. The slow decrease of the pH upon neutralization of a reaction mixture (procedure B) or slow decomposition of the 3 complex (procedure A) may result also in the increase of the amount of other diastereomer.

Regeneration of 1 or 2 was performed after completion of the reaction and decomposition of the complexes as it was described above. The recovery yield was 70–98%. These reagents may be reused without an additional crystallization. They did not undergo any racemization, as proved by using Eu(TFC)₃. In the ¹H NMR spectra of racemic 1 recorded in CDCl₃ in the presence of 0.2 M Eu(TFC)₃, the signals of aromatic protons in the 3-position were shifted to a weaker field (δ 12.2 ppm) and were well separated ($\Delta\delta$ 0.40 ppm). In the ¹H NMR spectrum of the regenerated chiral 1 recorded under the same conditions, the signals of the second enantiomer were absent.

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Registry No. (S)-1, 82704-15-2; (\pm)-1, 96346-91-7; (S)-2, 96293-17-3; 4, 96293-18-4; 5, 96346-93-9; 6 α , 96293-19-5; 6 β , 96293-20-8; 7, 96293-21-9; 8 α , 95824-15-0; 8 β , 82704-29-8; 9, 96293-22-0; H₂NCH₂CO₂H, 56-40-6; DL-H₂NCH(CH₂OH)CO₂H, 302-84-1; (S)-H₂NCH(CHMe₂)CH₂OH, 2026-48-4; C₆H₅CHO, 100-52-7; (CH₃)₂CO, 67-64-1; CH₃CHO, 75-07-0; CH₂O₂C₆H₃CHO, 120-57-0; CH₂O, 50-00-0; (S)-N-benzylproline, 31795-93-4; (S)-N-benzylproline hydrochloride, 92086-93-6; *o*-aminoacetophenone, 551-93-9; *o*-aminobenzophenone, 2835-77-0; DL-N-benzylproline, 60169-72-4; paraformaldehyde, 30525-89-4; (S)-serine, 56-45-1; (S)-allothreonine, 28954-12-3; (R)-threo- β -phenylserine, 6254-48-4; (R)- β -hydroxyvaline, 2280-48-0; (R)-threo-(3,4-methylenedioxy)- β -phenylserine, 88375-62-6; (R)-serine, 312-84-5; (R)-threonine, 632-20-2; (S)-threonine, 72-19-5.

Supplementary Material Available: Tables of atomic coordinates and their thermal parameters (3 pages). Ordering information is given on any current masthead page.

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